

Governance Reporting Arrangements

	Governance Area	Executive Responsibility	Non - Executive Lead Committee	Activity – Terms of Reference	Other Interested Committees
1.	Corporate Plan - Performance	Cabinet (Council approves Plan)	Overview and Scrutiny	Review and scrutinise	Audit Standards
2.	Medium Term Financial Strategy (MTFS)	Cabinet (Council approves MTFS)	Overview and Scrutiny	Review and scrutinise	Audit - process and soundness of information
3.	Budget Setting	Cabinet (Council approves budget)	Overview and Scrutiny	Review and scrutinise	Audit - process and soundness of information
4.	Budget Monitoring	Cabinet	Overview and Scrutiny	Review and scrutinise	Audit - process and soundness of information
5.	Annual Statement of Accounts	Cabinet	Audit	Review and approve	Overview and Scrutiny
6.	Financial Management	Cabinet	Audit	Review to ensure arrangements for financial management are adequate and effective	Overview and Scrutiny
7.	Corporate governance, risk management and internal control	Cabinet	Audit	Monitor and review effective development and operation; receive progress reports.	Overview and Scrutiny
8.	Annual Governance Statement (AGS)	Cabinet	Audit	Oversee process, review supporting evidence and approve AGS	Contributions from standards – ethical governance and Overview and Scrutiny
9.	Anti-fraud and Corruption Policy	Cabinet	Audit	Monitor development and implementation	Standards Overview and Scrutiny

10.	Internal Audit	Cabinet	Audit	<ul style="list-style-type: none"> - Approve terms of reference and strategy and annual internal audit plan; - Monitor and review effectiveness of internal audit; - Consider annual report and opinion of Head of Internal Audit, summary on internal audit activity and level of assurance it provides on corporate governance; - Consider specific internal audit reports as requested and monitor implementation of agreed actions. 	Overview and Scrutiny Standards – ethical governance issues
11.	External Audit	Cabinet	Audit	<ul style="list-style-type: none"> - Comment on external audit plan - consider relevant reports and report to those charged with governance; - Comment on scope and depth of external audit work and ensure it gives value for money - Monitor implementation of actions arising from external audit. - Consider issues arising from external audit of accounts 	Overview and Scrutiny Standards – ethical governance issues
12.	Audit and Inspection Letter	Cabinet	a. Overview and Scrutiny b. Audit c. Standards – ethical governance issues		
13.	Constitution	Cabinet (Council approves) Council	Standards	Oversight	Audit financial rules and regulations

					All other committees
14.	Promoting and maintaining high standards of conduct : officers and members	Cabinet	Standards	- Advising and providing training on Code of Conduct; -Granting dispensations; -Dealing with complaints under the Code of Conduct.	Staffing Policy Committee – Officer disciplinary hearings and any recommendations for wider learning
15.	Whistleblowing Policy	Cabinet	Standards	Overview of policy development and implementation	Possible issues for Standards and Overview Scrutiny
16.	Corporate Complaints Handling and Ombudsman Investigations	Cabinet	Standards	- Overview; - Review implementation of recommendations by Ombudsman	Possible issues for Overview and Scrutiny
17.	Partnership Governance	Cabinet	Audit	Review effectiveness of partnership governance arrangements as part of AGS process	Overview and Scrutiny – review partnerships Standards, ethical governance in relation to partnerships
18.	Safeguarding and looked after children	Cabinet	Children’s Select Committee	Ensuring safeguarding responsibilities	Corporate Parenting Panel Safeguarding Children and Young People Panel Safeguarding Children and Young People Task Group
19.	Heath, wellbeing and social care	Cabinet/Health and Wellbeing Board	Health Select Committee	Ensuring health, wellbeing and social care responsibilities	
20.	Policing	Cabinet	Police and Crime Panel	Holding Police and Crime Commissioner to account	Overview and Scrutiny
21.	Local Enterprise Partnership	Cabinet	Joint Strategic Economic Committee	Democratic accountability, LEP governance framework	LEP Joint Scrutiny Task Group